

Jonathan Aikman, BA, MA, LLB, MBA, CIM

jsaikman@gmail.com: (416)712-9391

Professional Positions

- 2021 - Current** **ReSolve Asset Management (Toronto, CA)**
Portfolio Manager and Exempt Market Dealer
- 2019 - Current** **NordAi Analytics Corporation (Toronto, CA)**
Owner, President & Secretary
- 2011 - Current** **Queen's University (Toronto & Kingston, CA)**
Faculty, Adjunct Lecturer, Smith School of Business (MFIN, MBA, MIB)
Courses listed in appendix.
- 2009 - Current** **University of Toronto (Toronto, CA)**
Faculty, Lecturer in Finance, Rotman School of Management & UTM
Courses listed in appendix.
- 2017- 2018** **Pinnacle Wealth Brokers, Inc. (Calgary & Toronto, CA)**
Chief Investment Officer & Portfolio Manager, Absolute Return Trust
- 2014 - 2016** **Dundee Corporation & Dundee Global Investment Management (Toronto, CA)**
Vice-President & Managing Director of DGIM, Alternative Investments
- 2007 - 2009** **Citigroup Global Markets Limited (London, UK)**
Vice-President & Counsel
- 2007 - 2007** **SJ Berwin LLP (London, UK)**
Corporate Finance Counsel
- 2003 - 2005** **Desjardins Financial Security (Toronto, CA)**
Assistant General Counsel
- 2001 - 2003** **Osler Hoskin & Harcourt LLP (Toronto, CA)**
Associate lawyer

Education

- 2016 - 2018** **Massachusetts Institute of Technology, (Cambridge, US)**
- Fintech: The Future of Commerce (Certificate)
- Artificial Intelligence (Certificate)
- 2005 - 2006** **University of Oxford, Saïd Business School, Brasenose College (Oxford, UK)**
- MBA
- 1996 - 1999** **Queen's University, Law School (Kingston, CA)**
- LLB, Bachelor of Laws
- 1995 - 1996** **University of Guelph (Guelph, CA)**
- MA, Philosophy of Science and Ethics
- 1990 - 1994** **University of British Columbia (Vancouver, CA)**
- BA (Honours), Philosophy

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Qualifications & Skills

2021	Certificate in ESG Investing, CFA Society, UK
2021	Approved as Advising Representative (Portfolio Manager) and Dealing Representative (Exempt Market Dealer) by the OSC
2012 - Current	Chartered Investment Manager (CIM), CSI
2017	Derivatives & Options Licensing Course
2017	Futures Licensing Course
2011	Portfolio Management Course
2011	Investment Management Techniques Course
2010	Partners, Directors & Officers Course

Memberships

2016 - Current	Association for the Advancement of Artificial Intelligence (US) Member
2008 - Current	Law Society of the England & Wales (London, UK) Solicitor on the Roll
2001 - Current	Law Society of Upper Canada (Ontario, CA) Barrister & Solicitor
2014 - 2019	New York State Bar Association (New York, US) Member
2014 - 2019	American Bar Association (US) Business & International Section Member
2014 - 2016	Alternative Investment Management Association (Toronto, CA) Research Committee

Advisory Positions

2020 – Current	Financial Innovation and Technology Program, Queen's Univ. (Kingston, CA) Advisory Board Member
2016 - Current	Victoria University at the University of Toronto, Board of Regents (Toronto, CA) Investment Committee Member, Pension & Endowment.
2018 - 2019	Vise AI, Advisor (San Francisco, US) Advisor, AI-driven investment management firm
2014 - 2016	Federal Reserve Bank of Chicago, Working Group Member (Chicago, US) Member, Working Group for "Confronting Systemic Risk & Ensuring Stability."

Volunteer & Research Positions

2019 - Current	Institute of Sustainable Finance (ISF) (Toronto, CA) Independent research on sustainable finance and ESG issues
2017 - Current	Finposium.com

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Founder of website and conference

- 2014 - 2016** **Aspen Valley Wildlife Sanctuary**
Director, Board of Directors
- 2012 - 2014** **University of Guelph Alumni Association**
Director, Board of Directors
- 1996 - 1999** **Queen's Legal Aid**
Volunteer Group Leader & Caseworker

Awards

- 2018** **Portfolio Investment Award (Calgary, CA)**
PMAC Award for the Pinnacle Absolute Return Trust
- 2016** **Nominated for Professor of the Year, Queen's University (Kingston, CA)**
MFIN Program
- 2013** **Excellence in Teaching Award, Rotman, University of Toronto (Toronto, CA)**
MBA Program
- 2006** **Royal Society of Arts (RSA) (London, UK)**
Entrepreneurship award & RSA fellow
- 1997 - 1999** **Queen's Law School, Queen's University (Kingston, CA)**
Robinson-Ryan Award (1999)
Queen's Legal Aid Award for excellence in legal services (1997)
- 1996** **Blakes LLP Law School Entrance Scholarship (Toronto, CA)**
- Law School Academic Entrance Scholarship

Publications

- 2021** "Modern Investments", Book (in progress)
- 2019** "Hedge Funds: The Complete Guide", Course, Udemey
- 2016** "Time for a second look at your prime broker?", Commentary, HFM
- 2013** "Hedge Fund Dreams Face Tough Reality", Globe & Mail Newspaper, January 31
- 2012** "Paradigm Shift", Hedge Fund Journal
- 2012** "The Evolution of Prime Brokerage", White Paper, Royal Bank of Scotland
- 2011** "A weaker position in a more dangerous world", Article, Canadian Hedge Watch
- 2010** "When Prime Brokers Fail", Book, Bloomberg Press / John Wiley & Sons

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Speaking

2020	"Blue Ocean Strategy", Globe Series (Vancouver, CA)
2019	"Current issues in Risk Management", Queen's University (Toronto, CA)
2009 - 2019	World Alternative Investment Symposium Canada, Speaker (Niagara, CA)
2015	"Hedge Fund Investing", High Commission of Canada (Hong Kong, CN)
2015	"Securities Lending and Short Bans", lecture for Guotai Junan (Shanghai, CN)
2015	"Global Hedge Funds" lecture series for investors (Tokyo, JP, Singapore, SG)
2014	"Global Legal Issues in Derivatives & Prime", Lecture for Axiom (New York, US)
2012	Institutional Investor, Speaker, Synthetic Finance (London, UK)
2012	Royal Bank of Scotland, Speaker for institutional clients (London, UK)
2009	CIBC World Markets, Investment Bank, Speaker (Toronto, CA)

Other Information

Programming skills: Python, R, Front End Web Development, CSS, JavaScript, HTML, Excel, VBA, Machine Learning, SQL, database systems, Tableau

Online Courses: Udemy, "Hedge Funds: The Complete Course", online course

Sports: Chess, marathon running and strength training

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Queen's University Courses (Finance)

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2021	Queen's	MFIN/MBA/MBIE	Impact Investments: Smith Enrichment Program
2021	Queen's	Graduate (MFIN)	Alternative Investments
2021	Queen's	Graduate (MFIN)	Artificial Intelligence & Finance
2021	Queen's	Graduate (MIB)	Finance for Global Managers
2020	Queen's	Graduate (MFIN)	Equity Analysis & Valuation (1)
2020	Queen's	Graduate (MFIN)	Equity Analysis & Valuation (2)
2020	Queen's	Graduate (MFIN)	Alternative Investments
2020	Queen's	Graduate (MFIN)	Artificial Intelligence & Finance
2020	Queen's	Graduate (MIB)	Finance for Global Managers
2020	Queen's	Graduate (MBA)	Advanced Portfolio Management
2019	Queen's	Executive (MBA)	Fintech: Executive Program
2019	Queen's	Graduate (MIB)	Finance for Global Managers
2019	Queen's	MFIN/MBA/MBIE	Fintech Innovation and Technology
2019	Queen's	Graduate (MBA)	Financial Strategies
2019	Queen's	Graduate (MBA)	Advanced Portfolio Management
2019	Queen's	Graduate (MFIN)	Alternative Investments
2019	Queen's	Graduate (MFIN)	Artificial Intelligence & Finance
2018	Queen's	Graduate (MIB)	Finance for Global Managers
2018	Queen's	Graduate (MFIN)	Alternative Investments
2018	Queen's	Graduate (MFIN)	Artificial Intelligence in Finance
2017	Queen's	Graduate (MFIN)	Alternative Investments
2016	Queen's	Graduate (MFIN)	Introduction to hedge funds
2015	Queen's	Graduate (MFIN)	Introduction to hedge funds
2014	Queen's	Graduate (MFIN)	Introduction to hedge funds
2014	Queen's	Graduate (MFIN)	Derivatives
2013	Queen's	Graduate (MFIN)	Introduction to hedge funds
2012	Queen's	Graduate (MFIN)	Introduction to hedge funds

University of Toronto Courses (Finance)

Period	University	Level	Courses
2019	Toronto	Graduate (MBA)	Hedge Funds: Advanced Research
2018	Toronto	Graduate (MBA)	Introduction to hedge funds & broker-dealers
2017	Toronto	Graduate (MBA)	Introduction to hedge funds & broker-dealers
2017	Toronto	Graduate – Exec. ICBC	Global Leadership Development Program (UTM)
2016	Toronto	Graduate (MBA)	Introduction to hedge funds & broker-dealers
2015	Toronto	Graduate (MBA)	Introduction to hedge funds & broker-dealers
2014	Toronto	Graduate (MBA)	Introduction to hedge funds & broker-dealers
2013	Toronto	Graduate (MBA)	Derivatives, Options and Futures
2013	Toronto	Graduate (MBA)	Introduction to hedge funds & broker-dealers
2013	Toronto	Undergraduate	Corporate Finance (Commerce undergrad program)
2012	Toronto	Graduate (MBA)	Introduction to hedge funds & broker-dealers
2011	Toronto	Graduate (MBA)	Introduction to hedge funds & broker-dealers
2010	Toronto	Graduate (MBA)	Introduction to hedge funds & broker-dealers

University of Guelph Course (Philosophy)

Period	University	Level	Courses
2014	Guelph	Undergraduate	The Philosophy of Finance